

## Background

- If you do not have necessary background in analysis of algorithms
  - See the book
    - Introduction to Algorithms by
      - Cormen, Leiserson, Rivest and Stein
    - Or go online
  - Topics to study
    - Introduction
    - Growth of functions
    - Summations
    - Recurrences

## Background: Orders of Magnitude

- $O, \Omega, \Theta$ 
  - $f(x) = O(g(x))$  iff  $\exists c, n_0 : f(x) < c.g(x) \quad \forall n > n_0$ 
    - used for **upper bound** of algorithm complexity: this particular algorithm takes **at most**  $c.g(n)$  time
  - $f(x) = \Omega(g(x))$  iff  $\exists c, n_0 : f(x) > c.g(x) \quad \forall n > n_0$ 
    - used for **lower bound** of problem complexity: any algorithm for solving this problem takes **at least**  $c.g(n)$  time
  - $f(x) = \Theta(g(x))$  iff  $f(x) = O(g(x))$  and  $f(x) = \Omega(g(x))$ 
    - “Tight” bound

## Background: Closed problems

- Closed problem P:
  - $\exists$  algorithm X with  $O(X) = \Omega(P)$   
eg. Sort has tight bound:  $\Theta(n \log(n))$
- Problem P has algorithmic gap:
  - P is not closed, eg., all NP Complete problems (problems with polynomial lower bound but currently exponential upper bound, such as TSP)

## Recurrence Relations

- Algorithmic complexity often described using recurrence relations:  $f(n) = R( f(1) .. f(n-1) )$
- Two important types of recurrence relations
  - Linear
  - Divide and Conquer
- cs420(dl) covers these

## Repeated substitution

- Simple recurrence relations (one recurrent term in the rhs) can sometimes be solved using **repeated substitution**
- Two types: **Linear** and **DivCo**
  - Linear  $F(n) = aF(n-d)+g(n)$ , base:  $F(1)=v_1$
  - Divco  $F(n) = aF(n/d)+g(n)$ , base:  $F(1)=v_1$
- Two questions:
  - what is the **pattern**
  - **how often is it applied** until we hit the base case

## Linear Example

$$M(n)=2M(n-1)+1, M(1)=1$$

recognize this recurrence?

$$M(n) = 2M(n-1)+1 = 2(2M(n-2)+1)+1 =$$

$$4M(n-2)+2+1 = 4(2M(n-3)+1)+2+1 =$$

$$8M(n-3)+4+2+1 = \dots \text{ inductive step } \dots$$

$$2^k M(n-k) + 2^{k-1} + 2^{k-2} + \dots + 2 + 1 =$$

hit base for  $k = n-1$ :

$$= 2^{n-1} M(1) + 2^{n-1} + 2^{n-2} + \dots + 2 + 1 = 2^n - 1$$

for more on Linear recurrence relations, see 420dl

## DivCo example

Merge sort:

$$T(n) = 2T(n/2) + n, \quad T(1)=1$$

$$n = 2^k$$

$$T(n)=2(2(T(n/4)+n/2))+n = 4T(n/4) + 2n =$$

$$8T(n/8) + 3n \dots \text{inductive step} \dots =$$

$$2^k T(n/2^k) + kn$$

hit base for  $k = \log n$

$$= 2^k T(n/2^k) + kn = n + kn = O(n \log n)$$

## Another one: binary search

$$f(n) = f(n/2) + c \quad f(1)=1$$

$$\text{let } n = 2^k$$

$$f(n)=f(n/2)+c = f(n/4)+2c =$$

$$f(n/8)+3c = f(n/2^k)+kc =$$

hit base for  $k=\log n$ :

$$f(1) + c \log n = O(\log n)$$

## Master Method

Cookbook approach to solution, based on repeated substitution (Cormen et.al. or Rosen)

$$A_n = C A_{n/d} + kn^p$$

- $A_n = O(n^p)$  if  $C < d^p$  eg  $A_n = 3 A_{n/2} + n^2$
- $A_n = O(n^p \log(n))$  if  $C = d^p$  eg  $A_n = 2 A_{n/2} + n$
- $A_n = O(n^{\log_d C})$  if  $C > d^p$  eg  $A_n = 3 A_{n/2} + n$

Do **binary search** and **merge sort** with this method

## Examples

- Merge Sort  
 $T(n) = 2T(n/2) + n, T(1)=1$   
 $C=? \quad d=? \quad p=? \quad d^p=?$   
 $T(n) = O( ??? )$
- Binary Search  
 $f(n) = f(n/2) + c \quad f(1)=1$   
 $C=? \quad d=? \quad p=? \quad d^p=?$   
 $f(n) = O( ??? )$